

Q. Security Briefing and Termination Statement (Industrial Personnel) (DSA Form 482)

This is a two-part form prescribed for use by employees of contractors. Part I shall be executed by the employee following his initial security briefing and prior to being granted access to classified information, to certify that he has read and is familiar with the provisions of the Espionage Laws and other Federal criminal statutes applicable to the safeguarding of classified information. Part

II shall be executed by the employee during termination proceedings to make a like declaration. The use of this form shall supersede all local forms presently used by contractors.

The Espionage Laws and other Federal criminal statutes referred to in this form are the following sections of Title 18 of the United States Code: §§ 793, 794, 795, 796, 797, 798, 799, 2153, 2154, 2155, 2156, 371, and 797 of Title 50 United States Code. These sections of the United States Code are set forth in Appendix VI.

THIS IS A COPY OF ITEM Q
OF APPENDIX I (ONE) OF
THE 1966 ISM, WHICH
WAS PART OF THE
DoD-RAND CONTRACT
By REFERENCE

SECURITY BRIEFING AND TERMINATION STATEMENTS (INDUSTRIAL PERSONNEL)

Section 1001 of Title 18, United States Code, makes it a criminal offense, punishable by a maximum of five (5) years imprisonment, \$10,000 fine, or both, knowingly and willfully to make a false statement or representation to any Department or Agency of the United States, as to any matter within the jurisdiction of any Department or Agency of the United States.

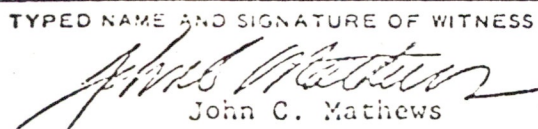
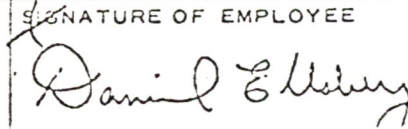
INSTRUCTION: Part I of this form shall be executed by an employee of a contractor following his initial security briefing, and prior to being permitted access to classified information. An employee who executes Part I of DSA Form 482 and who subsequently is absent from his place of employment, for any reason, in excess of six months, must re-execute Part I of DSA Form 482 before again being permitted access to classified information. Part II shall be executed by an employee at the time of termination of employment (discharge, resignation or retirement), at the beginning of a layoff or leave of absence for an indefinite period or for a period in excess of 6 months. In addition, Part II shall be executed by an employee upon reassignment from duties requiring access to TOP SECRET information or to other information requiring a special access authorization by the Government. If the employee refuses to sign either part of this form, the contractor shall notify immediately his cognizant security office.

TYPED NAME OF EMPLOYEE (Last, First, Middle) ELLSBERG, Daniel (NMN)	TYPED NAME OF CONTRACTOR The RAND Corporation
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PART I - INITIAL SECURITY BRIEFING STATEMENT

DATE OF BRIEFING 11 September 1967	TYPED NAME AND TITLE OF PERSON BRIEFING EMPLOYEE John C. Mathews Assistant Security Officer
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I hereby certify that I have received a security briefing. I shall not knowingly and willfully communicate, deliver, or transmit, in any manner, classified information to an unauthorized person or agency. I am informed that such improper disclosure may be punishable under Federal criminal statutes. I have been instructed in the importance of classified information, and in the procedure governing its safeguarding. I am informed that willful violation or disregard of security regulations may cause the loss of my security clearance. I have read, or have had read to me, the portions of the Espionage Laws and other Federal criminal statutes relating to the safeguarding of classified information reproduced in Appendix VI, "Department of Defense Industrial Security Manual." I will report to the Federal Bureau of Investigation and to my employer, without delay, any incident which I believe to constitute an attempt to solicit classified information by an unauthorized person.

TYPED NAME AND SIGNATURE OF WITNESS  John C. Mathews	DATE SIGNED 9/11/67	SIGNATURE OF EMPLOYEE 
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PART II - SECURITY TERMINATION STATEMENT

I certify that I have read, or have had read to me, the portions of the Espionage Laws and other Federal criminal statutes applicable to the safeguarding of classified information reproduced in Appendix VI, "Department of Defense Industrial Security Manual"; that I have surrendered all classified information in my custody; that I will not knowingly and willfully communicate, deliver or transmit, in any manner, classified information to an unauthorized person or agency; that I will report to the Federal Bureau of Investigation, without delay, any incident which I believe to constitute an attempt to solicit classified information by an unauthorized person; and that I (have) (have not) (~~strike out inappropriate word or words~~) also received a terminal, oral security briefing.

TYPED NAME AND SIGNATURE OF WITNESS	DATE SIGNED	SIGNATURE OF EMPLOYEE
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SECURITY BRIEFING AND TERMINATION STATEMENTS
(Industrial Personnel)

Section 1001 of Title 18, United States Code makes it a criminal offense, punishable by a maximum of five (5) years' imprisonment, \$10,000 fine, or both, knowingly and willfully to make a false statement or representation to any Department or Agency of the United States, as to any matter within the jurisdiction of any Department or Agency of the United States.

INSTRUCTION: This is a two part form. Part I shall be executed by an employee following his initial security briefing and prior to being granted access to classified defense information. Part II shall be executed by an employee during termination proceedings. The contractor shall retain the original signed copy of this form for a minimum of ten years following termination of employment. If the employee fails or refuses to sign this form, the contractor shall notify immediately his cognizant security office of this fact.

EMPLOYEE'S NAME (LAST, FIRST, MIDDLE)

ELLSBERG, DANIEL (NMN)

NAME OF CONTRACTOR

The RAND Corporation

PART I - INITIAL SECURITY BRIEFING STATEMENT

DATE OF BRIEFING

TYPED NAME AND TITLE OF PERSON BRIEFING EMPLOYEE

I, the undersigned, hereby certify that I have received a security briefing. I understand fully the information presented during the briefing. I am aware that willful disclosure of classified defense information to any unauthorized person or persons may be punishable under Federal Criminal Statute. I realize that the safeguarding of classified defense information or material is of the utmost importance and that the loss or compromise of this information or material could be detrimental to the interests of national security. I have been instructed in the nature of classified defense information and the procedures governing its safeguarding. I understand that willful violation or disregard of security regulations may cause the loss of my access authorization (*security clearance*). I have read and am familiar with the provisions of the Espionage Laws and Federal Criminal Statutes applicable to the safeguarding of classified defense information or material.

(This can kill people; but I know this you could not (it was unloaded and had no banner).)

TYPED NAME AND SIGNATURE OF WITNESS

XXXXXXXXXXXX

DATE SIGNED

SIGNATURE OF EMPLOYEE

PART II - SECURITY TERMINATION STATEMENT

I, the undersigned, fully realize the importance to the national security of the requirement for the safeguarding of classified defense information. In the fulfillment of this obligation, I certify that:

1. I have read the appropriate provisions of the Espionage Laws and Federal Criminal Statutes applicable to the safeguarding of classified defense information or material.
2. I have surrendered and no longer have in my possession or custody any classified defense information or material.
note transaction with RAND/ISA
3. I shall not communicate or transmit classified defense information, orally or in writing, to any unauthorized person or agency.
4. I shall report to the Federal Bureau of Investigation, without delay, any incident wherein an attempt is made by any unauthorized person to solicit classified defense information.
5. I have - have not (*strike out inappropriate words*) received an oral briefing. 1/

TYPED NAME AND SIGNATURE OF WITNESS

DATE SIGNED

SIGNATURE OF EMPLOYEE

A. E. ARCHER

Oct. 12, 1964

Daniel Ellsberg

1/ An oral briefing at the time of termination of employment is required when the individual has had access to defense information classified Top Secret, or to cryptographic or communication analysis information.

THE RAND CORPORATION

SECURITY ACKNOWLEDGMENT

I, David E. Wilson, in consideration of my employment by, or professional services agreement with, The RAND Corporation, make the following statement with the understanding and intent that my statement will be used by RAND in carrying out its obligation to protect the security of Restricted Data and other classified defense information and to safeguard Privileged Information.*

1. I understand that it is the policy of the Government of the United States to control the dissemination of Restricted Data and other classified defense information in such a manner as to assure the common defense and security. I further understand that, in carrying out the aforesaid policy, the Atomic Energy Commission and the Department of Defense have officially promulgated, and will promulgate and revise, as circumstances will require such revision, certain instructions pertaining to the control and dissemination of Restricted Data and other classified defense information.
2. I will not reveal to any person any Restricted Data, or classified defense information, of which I gain knowledge as a result of my employment, except in accordance with official instructions or rules of the Atomic Energy Commission or of the Department of Defense, or except as may be authorized hereafter by officials empowered to grant such authority. I understand that it is my responsibility to determine whether a prospective recipient of classified information is an authorized recipient, and that in making a disclosure of classified information to an authorized person to advise him of the classification of the information disclosed.
3. I understand that it is the policy of RAND to designate as Privileged Information certain information received from industry and the Government and that such information is to be safeguarded as prescribed by RAND. I will not reveal to any person any Privileged Information of which I gain knowledge as a result of my employment, except in accordance with official instructions of RAND, or except as may be authorized hereafter by officials empowered to grant such authority.
4. I understand (a) that the safeguarding of classified information is a continuing individual responsibility, (b) that the provisions of the Espionage Act, Title 18 U.S.C., Sections 792, 793, 794, 795, 797, and 798, prescribe penalties for the disclosure to unauthorized persons of information respecting the national defense, and for loss, destruction, or compromise of such information through gross negligence, and (c) that the provisions of the Atomic Energy Act of 1954 prescribe penalties for the disclosure of Restricted Data to unauthorized persons. I further understand that willful or gross carelessness in revealing or disclosing to any unauthorized person or in handling Restricted Data or classified

*As used herein: "Restricted Data" means all information designated as being such by the Atomic Energy Act of 1954; "classified defense information" means official information the safeguarding of which is necessary in the interest of national defense, and which is classified for such purpose by appropriate classifying authority; and "Privileged Information" means information RAND receives from industry or the Government which has special limitations imposed on its use and which is so designated by RAND.

defense information violates Department of Defense regulations and contractual obligations and that such disclosure or disclosure of Privileged Information will constitute cause for termination of my employment by RAND.

5. I understand that I have an affirmative obligation to notify The RAND Corporation as soon as practical (a) of any proposed travel to or through a Communist country; (b) of any proposed attendance at any scientific or other meeting held outside the United States, where Communist country representatives are expected to participate or be in attendance; (c) of any change (from that reported in my last Personnel Security Questionnaire executed for RAND) in the list of my relatives or my spouse's relatives known to be living in Communist countries, or of acquiring through marriage relatives who are citizens or residents of a Communist country; (d) of any affiliation by means of which I become a representative of a foreign interest; and (e) of all arrests, charges (whether or not dismissed), or detentions by federal, state, or other law enforcement authorities for any violation (other than a traffic violation for which a fine of \$25.00 or less was imposed) of any federal, state, county, or municipal law, regulation, or ordinance occurring since the date of my last Personnel Security Questionnaire executed for RAND.
6. I hereby affirm, without any mental reservation whatsoever, that I bear true faith and allegiance to the United States of America, that I do not seek, and I am not a member of an organization that seeks, to alter the form of the Government of the United States by unconstitutional means; and that I do not advocate, and I am not a member of an organization that advocates, the commission of acts of force or violence to deny others their rights under the Constitution of the United States.
7. I agree that, at the time my employment is terminated, I will sign a Security Termination Statement, a copy of which has been given to me for my permanent possession. I have also received a copy of this Security Acknowledgment for my permanent possession.

Daniel Ellisen
(Signature)

28 July 1967
(Date)

APPLICABLE PROVISIONS OF FEDERAL STATUTES

"ATOMIC ENERGY ACT OF 1954"

"SEC. 11. DEFINITIONS. — The intent of Congress in the definitions as given in this section should be construed from the words or phrases used in the definitions. As used in this Act:

"r. The term 'Restricted Data' means all data concerning (1) design, manufacture, or utilization of atomic weapons; (2) the production of special nuclear material; or (3) the use of special nuclear material in the production of energy, but shall not include data declassified or removed from the Restricted Data category pursuant to section 142.

"SEC. 161. GENERAL PROVISIONS. — In the performance of its functions the Commission is authorized to —

"i. prescribe such regulations or orders as it may deem necessary (1) to protect Restricted Data received by any person in connection with any activity authorized pursuant to this Act, (2) to guard against the loss or diversion of any special nuclear material acquired by any person pursuant to section 53 or produced by any person in connection with any activity authorized pursuant to this Act, and to prevent any use or disposition thereof which the Commission may determine to be inimical to the common defense and security, and (3) to govern any activity authorized pursuant to this Act, including standards and restrictions governing the design, location, and operation of facilities used in the conduct of such activity, in order to protect health and to minimize danger to life or property;

"SEC. 223. VIOLATION OF SECTIONS GENERALLY. — Whoever willfully violates, attempts to violate, or conspires to violate, any provision of this Act for which no penalty is specifically provided or of any regulation or order prescribed or issued under section 65 or subsections 161b., i., or p. shall, upon conviction thereof, be punished by a fine of not more than \$5,000 or by imprisonment for not more than two years, or both, except that whoever commits such an offense with intent to injure the United States or with intent to secure an advantage to any foreign nation, shall, upon conviction thereof, be punished by a fine of not more than \$20,000 or by imprisonment for not more than twenty years, or both.

"SEC. 224. COMMUNICATION OF RESTRICTED DATA. — Whoever, lawfully or unlawfully, having possession of, access to, control over, or being entrusted with any document, writing, sketch, photograph, plan, model, instrument, appliance, note, or information involving or incorporating Restricted Data —

"a. communicates, transmits, or discloses the same to any individual or person, or attempts or conspires to do any of the foregoing, with intent to injure the United States or with intent to secure an advantage to any foreign nation, upon conviction thereof, shall be punished by death or imprisonment for life (but the penalty of death or imprisonment for life may be imposed only upon recommendation of the jury), or by a fine of not more than \$20,000 or imprisonment for not more than twenty years, or both;

"b. communicates, transmits, or discloses the same to any individual or person, or attempts or conspires to do any of the foregoing, with reason to believe such data will be utilized to injure the United States or to secure an advantage to any foreign nation, shall, upon conviction, be punished by a fine of not more than \$10,000 or imprisonment for not more than ten years, or both.

"SEC. 225. RECEIPT OF RESTRICTED DATA. — Whoever, with intent to injure the United States or with intent to secure an advantage to any foreign nation, acquires, or attempts or conspires to acquire any document, writing, sketch, photograph, plan, model, instrument, appliance, note, or information involving or incorporating Restricted Data shall, upon conviction thereof, be punished by death or imprisonment for life (but the penalty of death or imprisonment for life may be imposed only upon recommendation of the jury), or by a fine of not more than \$20,000 or imprisonment for not more than twenty years, or both.

"SEC. 226. TAMPERING WITH RESTRICTED DATA. — Whoever, with intent to injure the United States or with intent to secure an advantage to any foreign nation, removes, conceals, tampers with, alters, mutilates, or destroys any document, writing, sketch, photograph, plan, model, instrument, appliance, or note involving or incorporating Restricted Data and used by any individual or person in connection with the production of special nuclear material, or research or development relating to atomic energy, conducted by the United States, or financed in whole or in part by Federal funds, or conducted with the aid of special nuclear material, shall be punished by death or imprisonment for life (but the penalty of death or imprisonment for life may be imposed only upon recommendation of the jury), or by a fine of not more than \$20,000 or imprisonment for not more than twenty years, or both.

"SEC. 227. DISCLOSURE OF RESTRICTED DATA. — Whoever, being or having been an employee or member of the Commission, a member of the Armed Forces, an employee of any agency of the United States, or being or having been a contractor of the Commission or of an agency of the United States, or being or having been a licensee of the Commission, or being or having been an employee of a licensee of the Commission, knowingly communicates, or whoever conspires to communicate or to receive, any Restricted Data, knowing or having reason to believe that such data is Restricted Data, to any person not authorized to receive Restricted Data pursuant to the provisions of this Act or under rule or regulation of the Commission issued pursuant thereto, knowing or having reason to believe such person is not so authorized to receive Restricted Data shall, upon conviction thereof, be punishable by a fine of not more than \$2,500.

ESPIONAGE ACTS, TITLE 18, UNITED STATES CODE

Section 792. HARBORING OR CONCEALING PERSONS

Whoever harbors or conceals any person who he knows, or has reasonable grounds to believe or suspect, has committed, or is about to commit, an offense under sections 793 or 794 of this title, shall be fined not more than \$10,000 or imprisoned not more than ten years, or both.

Section 793. GATHERING, TRANSMITTING, OR LOSING DEFENSE INFORMATION

(a) Whoever, for the purpose of obtaining information respecting the national defense with intent or reason to believe that the information is to be used to the injury of the United States, or to the advantage of any foreign nation, goes upon, enters, flies over, or otherwise obtains information concerning any vessel, aircraft, work of defense, navy yard, naval station, submarine base, fueling station, fort, battery, torpedo station, dockyard, canal, railroad, arsenal, camp, factory, mine, telegraph, telephone, wireless, or signal, station, building, office, research laboratory or station or other place connected with the national defense owned or constructed, or in progress of construction by the United States or under the control of the United States, or of any of its officers, departments, or agencies, or within the exclusive jurisdiction of the United States, or any place in which any vessel, aircraft, arms, munitions, or other materials or instruments for use in time of war are being made, prepared, repaired, stored, or are the subject of research or development, under any contract or agreement with the United States, or any department or agency thereof, or with any person on behalf of the United States, or otherwise on behalf of the United States, or any prohibited place so designated by the President by proclamation in time of war or in case of national emergency in which anything for the use of the Army, Navy, or Air Force is being prepared or constructed or stored, information as to which prohibited place the President has determined would be prejudicial to the national defense; or

(b) Whoever, for the purpose aforesaid, and with like intent or reason to believe, copies, takes, makes, or obtains, or attempts to copy, take, make, or obtain, any sketch, photograph, photographic negative, blueprint, plan, map, model, instrument, appliance, document, writing, or note of anything connected with the national defense; or

(c) Whoever, for the purpose aforesaid, receives or obtains or agrees or attempts to receive or obtain from any person, or from any source whatever, any document, writing, code book, signal book, sketch, photograph, photographic negative, blueprint, plan, map, model, instrument, appliance, or note, of anything connected with the national defense, knowing or having reason to believe, at the time he receives or obtains, or agrees or attempts to receive or obtain it, that it has been or will be obtained, taken, made, or disposed of by any person contrary to the provisions of this chapter; or

(d) Whoever, lawfully having possession of, access to, control over, or being entrusted with any document, writing, code book, signal book, sketch, photograph, photographic negative, blueprint, plan, map, model, instrument, appliance, or note relating to the national defense, or information relating to the national defense which information the possessor has reason to believe could be used to the injury of the United States or to the advantage of any foreign nation, willfully, communicates, delivers, transmits or causes to be communicated, delivered, or transmitted or attempts to communicate, deliver, transmit or cause to be communicated, delivered or transmitted the same to any person not entitled to receive it, or willfully retains the same and fails to deliver it on demand to the officer or employee of the United States entitled to receive it; or

(e) Whoever, having unauthorized possession of, access to, or control over any document, writing, code book, signal book, sketch, photograph, photographic negative, blueprint, plan, map, model, instrument, appliance, or note relating to the national defense, or information relating to the national defense which information the possessor has reason to believe could be used to the injury of the United States or to the advantage of any foreign nation, willfully communicates, delivers, transmits or causes to be communicated, delivered, or transmitted, or attempts to communicate, deliver, transmit or cause to be communicated, delivered, or transmitted the same to any person not entitled to receive it, or willfully retains the same and fails to deliver it to the officer or employee of the United States entitled to receive it; or

(f) Whoever, being entrusted with or having lawful possession or control of any document, writing, code book, signal book, sketch, photograph, photographic negative, blueprint, plan, map, model, instrument, appliance, note, or information, relating to the national defense, (1) through gross negligence permits the same to be removed from its proper place of custody or delivered to anyone in violation of his trust, or to be lost, stolen, abstracted, or destroyed, or (2) having knowledge that the same has been illegally removed from its proper place of custody or delivered to anyone in violation of his trust, or lost, or stolen, abstracted, or destroyed, and fails to make prompt report of such loss, theft, abstraction, or destruction to his superior officer—

Shall be fined not more than \$10,000 or imprisoned not more than ten years, or both.

(g) If two or more persons conspire to violate any of the foregoing provisions of this section, and one or more of such persons do any act to effect the object of the conspiracy, each of the parties to such conspiracy shall be subject to the punishment provided for the offense which is the object of such conspiracy.

Section 794. GATHERING OR DELIVERING DEFENSE INFORMATION TO AID FOREIGN GOVERNMENT

(a) Whoever, with intent or reason to believe that it is to be used to the injury of the United States or to the advantage of a foreign nation, communicates, delivers, or transmits, or attempts to communicate, deliver, or transmit, to any foreign government, or to any faction or party or military or naval force within a foreign country, whether recognized or unrecognized by the United States, or to any representative, officer, agent, employee, subject, or citizen thereof, either directly or indirectly, any document, writing, code book, signal book, sketch, photograph, photographic negative, blueprint, plan, map, model, note, instrument, appliance, or information relating to the national defense, shall be imprisoned not more than twenty years.

(b) Whoever violates subsection (a) in time of war shall be punished by death or by imprisonment for not more than thirty years.

(c) Whoever, in time of war, with intent that the same shall be communicated to the enemy, collects, records, publishes, or communicates, or attempts to elicit any information with respect to the movement, numbers, description, condition, or disposition of any of the armed forces, ships, aircraft, or war materials of the United States, or with respect to the plans or conduct, or supposed plans or conduct of any naval or military operations; or with respect to any works or measures undertaken for or connected with, or intended for the fortification or defense of any place, or any other information relating to the public defense, which might be useful to the enemy, shall be punished by death or by imprisonment for not more than thirty years.

(d) If two or more persons conspire to violate this section, and one or more of such persons do any act to effect the object of the conspiracy, each of the parties to such conspiracy shall be subject to the punishment provided for the offense which is the object of such conspiracy.

Section 795. PHOTOGRAPHING AND SKETCHING DEFENSE INSTALLATIONS

(a) Whenever, in the interests of national defense the President defines certain vital military and naval installations or equipment as requiring protection against the general dissemination of information relative thereto, it shall be unlawful to make any photograph, sketch, picture, drawing, map, or graphical representation of such vital military and naval installations or equipment without first obtaining permission of the commanding officer of the military or naval post, camp, or station, or naval vessels, military and naval aircraft, and any separate military or naval command concerned, or higher authority, and promptly submitting the product obtained to such commanding officer or higher authority for censorship or such other action as he may deem necessary.

(b) Whoever violates this section shall be fined not more than \$1,000 or imprisoned not more than one year, or both.

Section 797. PUBLICATION AND SALE OF PHOTOGRAPHS OF DEFENSE INSTALLATIONS

On and after thirty days from the date upon which the President defines any vital military or naval installation or equipment as being within the category contemplated under section 795 of this title, whoever reproduces, publishes, sells, or gives away any photograph, sketch, picture, drawing, map, or graphical representation of the vital military or naval installations or equipment so defined, without first obtaining permission of the commanding officer of the military or naval post, camp, or station concerned, or higher authority, unless such photograph, sketch, picture, drawing, map, or graphical representation has clearly indicated thereon that it has been censored by the proper military or naval authority, shall be fined not more than \$1,000 or imprisoned not more than one year, or both.

Section 798. DISCLOSURE OF CLASSIFIED INFORMATION

(a) Whoever knowingly and willfully communicates, furnishes, transmits, or otherwise makes available to an unauthorized person, or publishes, or uses in any manner prejudicial to the safety or interest of the United States or for the benefit of any foreign government to the detriment of the United States any classified information—

(1) concerning the nature, preparation, or use of any code, cipher, or cryptographic system of the United States or any foreign government; or

(2) concerning the design, construction, use, maintenance, or repair of any device, apparatus, or appliance used or prepared or planned for use by the United States or any foreign government for cryptographic or communication intelligence purposes; or

(3) concerning the communication intelligence activities of the United States or any foreign government; or

(4) obtained by the processes of communication intelligence from the communications of any foreign government, knowing the same to have been obtained by such processes—

Shall be fined not more than \$10,000 or imprisoned not more than ten years, or both.

(b) As used in subsection (a) of this section—

The term "classified information" means information which, at the time of a violation of this section, is, for reasons of national security, specifically designated by a United States Government Agency for limited or restricted dissemination or distribution;

The terms "code," "cipher," and "cryptographic system" include in their meanings, in addition to their usual meanings, any method of secret writing and any mechanical or electrical device or method used for the purpose of disguising or concealing the contents, significance, or meanings of communications;

The term "foreign government" includes in its meaning any person or persons acting or purporting to act for or on behalf of any faction, party, department, agency, bureau, or military force of or within a foreign country, or for or on behalf of any government or any person or persons purporting to act as a government within a foreign country, whether or not such government is recognized by the United States;

The term "communication intelligence" means all procedures and methods used in the interception of communications and the obtaining of information from such communications by other than the intended recipients;

The term "unauthorized person" means any person who, or agency which, is not authorized to receive information of the categories set forth in subsection (a) of this section, by the President, or by the head of a department or agency of the United States Government which is expressly designated by the President to engage in communication intelligence activities for the United States.

(c) Nothing in this section shall prohibit the furnishing, upon lawful demand, of information to any regularly constituted committee of the Senate or House of Representatives of the United States of America, or joint committee thereof.

SECURITY BRIEFING AND TERMINATION STATEMENTS (INDUSTRIAL PERSONNEL)

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INSTRUCTION: Part I of this form shall be executed by an employee of a contractor following his initial security briefing, and prior to being permitted access to classified information. An employee who executes Part I of DSA Form 482 and who subsequently is absent from his place of employment, for any reason, in excess of six months, must re-execute Part I of DSA Form 482 before again being permitted access to classified information. Part II shall be executed by an employee at the time of termination of employment (discharge, resignation or retirement), at the beginning of a layoff or leave of absence for an indefinite period or for a period in excess of 6 months. If the employee refuses to sign either part of this form, the contractor shall notify immediately his cognizant security office.

TYPED NAME OF EMPLOYEE (Last, First, Middle)

RUSSO, Anthony Joseph, Jr.

TYPED NAME OF CONTRACTOR

The RAND Corporation

PART I - INITIAL SECURITY BRIEFING STATEMENT

DATE OF BRIEFING

TYPED NAME AND TITLE OF PERSON BRIEFING EMPLOYEE

I hereby certify that I have received a security briefing. I shall not knowingly and willfully communicate, deliver, or transmit, in any manner, classified information to an unauthorized person or agency. I am informed that such improper disclosure may be punishable under Federal criminal statutes. I have been instructed in the importance of classified information, and in the procedure governing its safeguarding. I am informed that willful violation or disregard of security regulations may cause the loss of my security clearance. I have read, or have had read to me, the portions of the Espionage Laws and other Federal criminal statutes relating to the safeguarding of classified information reproduced in Appendix VI, "Department of Defense Industrial Security Manual." I will report to the Federal Bureau of Investigation and to my employer, without delay, any incident which I believe to constitute an attempt to solicit classified information by an unauthorized person.

TYPED NAME AND SIGNATURE OF WITNESS

DATE SIGNED

SIGNATURE OF EMPLOYEE

PART II - SECURITY TERMINATION STATEMENT

I certify that I have read or have had read to me, the portions of the Espionage Laws and other Federal criminal statutes applicable to the safeguarding of classified information reproduced in Appendix VI, "Department of Defense Industrial Security Manual"; that I have surrendered all classified information in my custody; that I will not knowingly and willfully communicate, deliver or transmit, in any manner, classified information to an unauthorized person or agency; that I will report to the Federal Bureau of Investigation, without delay, any incident which I believe to constitute an attempt to solicit classified information by an unauthorized person; and that I (have) (have not) (strike out inappropriate word or words) also received a terminal, oral security briefing.

TYPED NAME AND SIGNATURE OF WITNESS

LYNDA R. SINAY

DATE SIGNED

12/13/69

SIGNATURE OF EMPLOYEE

Anthony J. Russo

SECURITY BRIEFING AND TERMINATION STATEMENTS
(Industrial Personnel)

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EMPLOYEE'S NAME (LAST, FIRST, MIDDLE)

NAME OF CONTRACTOR

Russo, Anthony Joseph

PART I - INITIAL SECURITY BRIEFING STATEMENT

DATE OF BRIEFING

TYPED NAME AND TITLE OF PERSON BRIEFING EMPLOYEE

I, the undersigned, hereby certify that I have received a security briefing. I understand fully the information presented during the briefing. I am aware that willful disclosure of classified defense information to any unauthorized person or persons may be punishable under Federal Criminal Statute. I realize that the safeguarding of classified defense information or material is of the utmost importance and that the loss or compromise of this information or material could be detrimental to the interests of national security. I have been instructed in the nature of classified defense information and the procedures governing its safeguarding. I understand that willful violation or disregard of security regulations may cause the loss of my access authorization (*security clearance*). I have read and am familiar with the provisions of the Espionage Laws and Federal Criminal Statutes applicable to the safeguarding of classified defense information or material.

TYPED NAME AND SIGNATURE OF WITNESS

DATE SIGNED

SIGNATURE OF EMPLOYEE

PART II - SECURITY TERMINATION STATEMENT

I, the undersigned, fully realize the importance to the national security of the requirement for the safeguarding of classified defense information. In the fulfillment of this obligation, I certify that:

1. I have read the appropriate provisions of the Espionage Laws and Federal Criminal Statutes applicable to the safeguarding of classified defense information or material.
2. I have surrendered and no longer have in my possession or custody any classified defense information or material.
3. I shall not communicate or transmit classified defense information, orally or in writing, to any unauthorized person or agency.
4. I shall report to the Federal Bureau of Investigation, without delay, any incident wherein an attempt is made by any unauthorized person to solicit classified defense information.
5. I have - have not (*strike out inappropriate words*) received an oral briefing. 1/

TYPED NAME AND SIGNATURE OF WITNESS

DATE SIGNED

SIGNATURE OF EMPLOYEE

1/ An oral briefing at the time of termination of employment is required when the individual has had access to defense information classified Top Secret, or to cryptographic or communication analysis information.

SECURITY TERMINATION STATEMENT

I, ANTHONY J. RUSSO, Jr.,
make the following statement in connection with the termination of my employment with The RAND Corporation:

1. I have transferred to persons designated by RAND, and am not retaining in my possession, custody or control, any and all documents and materials which record or embody Restricted Data or other classified defense information. Such documents and materials include all publications, correspondence, notes, and other memoranda classified as TOP SECRET, SECRET, CONFIDENTIAL or Restricted Data, which have been furnished to me or developed by me during the course of my employment by RAND. I will not reveal to any person any Restricted Data or other classified defense information of which I have knowledge except as may be authorized by officials of the Atomic Energy Commission or of the Department of Defense or by other officials empowered to grant such authority. I understand that the unauthorized disclosure of classified information is prohibited by Department of Defense regulations and RAND's contractual obligations, that it is inimical to the interests of national security and is punishable under the provisions of Federal Statutes.
2. I have transferred to persons designated by RAND, and am not retaining in my possession, custody, or control, any and all documents and materials which record or embody Privileged Information which has been furnished to me or developed by me during the course of my employment by RAND. I will not reveal to any person any Privileged Information of which I have knowledge except as may be authorized in writing by an officer of RAND or by officials empowered to grant such authority.
3. I understand (a) that the provisions of the Espionage Acts, Title 18, USC, Sections 792, 793, 794, 795, 797 and 798, prescribe penalties for the disclosure to unauthorized persons of information respecting the national defense and for loss, destruction, or compromise of such information through gross negligence, and (b) that the provisions of the Atomic Energy Act of 1954 prescribe penalties for the disclosure of Restricted Data to unauthorized persons. The referenced provisions of each of the above-cited statutes are attached to and made a part of this statement. I am aware that willful or grossly negligent disclosure to unauthorized persons of Privileged Information will subject me, and all persons acting in consort with me, to liability for injunctive relief and for all resulting damages.

[Signature]
(Witness)
LYNDIA R. SINAY

12/13/69
(Date)

[Signature]
(Signature)

12/13/69
(Date)